

**2025-2026
EMPLOYMENT PREPARATION EDUCATION (EPE)
STATE AID PROGRAM APPLICATION**

This 2025-2026 EPE application due date is June 15, 2025

**New York State Education Department
Adult Education Programs and Policy Team**

EPE APPLICATION 2025-2026

Email must be received by June 15, 2025 at the EPE mailbox:

EPE@nysed.gov Hard copy with signature mailed to:

**New York State Education Department
AEPP Office – EPE
89 Washington Avenue
Room 460 EBA
Albany, NY 12234**

Overview of the Employment Preparation Education (EPE) Program

School districts and BOCES may operate an Employment Preparation Education (EPE) State Aid program to provide services for persons aged 21 and older who have not received a high school diploma or its equivalent (see section below regarding serving individuals with a high school diploma or high school equivalency diploma). Agencies requesting approval to operate an EPE State Aid program in **FY2025-26** must submit a completed application by **June 15, 2025**.

Services to Individuals with a High School Diploma or High School Equivalency Diploma

The New York State legislature has enacted legislation authorizing the Commissioner of Education to set aside up to \$2.5 million to serve individuals 21 years and older who have a United States high school diploma or a high school equivalency diploma but "fail to demonstrate basic educational competencies." Commissioner's Regulations define failure to "demonstrate basic educational competencies" as scoring below National Reporting System (NRS) Level 5 in Reading, Mathematics, or English as a Second Language. The score must be achieved on the NYS Assessment eligible for each population, ABE, ASE, or ESOL. Students who have earned an HSE diploma within the previous fiscal year are also eligible to generate contact hours providing they meet the score criteria. Note that students in this category are not eligible for Fast Track programming.

- If the EPE set aside for serving individuals 21 years and older as described above is included in the New York State budget for the program year 202 -2 , an agency's allocation of State Aid available to be claimed for these students is capped at 3 percent of total EPE funds accrued by each individual agency from students without a high school diploma. **This will not affect the total amount of EPE aid allocated to your agency.** Contact hours must be tracked separately for those with and those without a diploma or equivalency. This is accomplished automatically through the Adult Student Information System and Technical Support (ASISTS) when students' profiles indicate they have a high school diploma or equivalency diploma. Agencies choosing to serve these individuals will submit separate claims for those with and without a diploma or equivalency. These claim forms are auto-populated through ASISTS.
- It's the program manager's responsibility to adhere to the 3% limit. Any contact hours accrued beyond the 3% will not be reimbursed. To assist programs in monitoring this cohort of contact hour accrual, we have developed and deployed a data check report found under the EPE funding report menu in ASISTS. The report, "EPE for Students with a High School Diploma Data Check" will display a running total of the number of contact hours accrued and the percentage to compare to the 3% maximum.

Repurposing of EPE State Aid:

\$20 million in EPE State Aid has been removed from the match for federal Workforce Innovation Opportunities Act (WIOA) funds and will be used to focus on three (3) distinct initiatives:

- Fast Track High School Equivalency (HSE) preparation,
- National External Diploma Program (NEDP) High School Equivalency programming,
- NRS Level 1 ABE students as determined on the TABE 11 & 12 assessment (50% of the total number of ABE Level 1 students enrolled)
- NRS Level 1 ESL students as determined on the BEST Plus 3.0 assessment)50% of the total number of ESL Level 1 students enrolled)

Proportionate distribution of these monies for these initiatives will be determined by NYSED.

Program managers should note that while these three specific initiatives are supported by EPE

State Aid, students enrolled in any one of these programs are exempt from National Reporting System (NRS) guidelines.

Program managers must also be reminded that should students in any one of these three areas be additionally enrolled in any portion of traditional programming that falls under the NRS guidelines, those students will then be included in all NRS reports. This situation can occur when a WIOA or EPE funded student is co-enrolled in either Fast Track, NEDP, or is an NRS Level 1 student enrolled in some other NYSED funded activity.

Accountability and the National Reporting System (NRS) for Adult Education

The law and regulations governing the EPE program require that the New York State Education Department (NYSED) evaluate the effectiveness of all programs receiving EPE State Aid. Agencies failing to perform at an adequate level are required to submit corrective action plans in order to continue receiving EPE State Aid and may lose funding entirely if performance does not improve. All agencies receiving EPE State Aid are required to record and report data using the NYSED web-based data system, ASISTS. Contact the Literacy Assistance Center (LAC) at (212) 803-3300 for information on ASISTS or at <https://www.asists.com>.

NYSED has negotiated core performance targets with the United States Department of Education. All EPE program participants must have the goal of advancing with a measurable skill gain or obtaining a high school equivalency diploma. Those students who achieve the NYS High School Equivalency Diploma in FY202 /202 are no longer eligible for EPE contact hour reimbursement unless they were enrolled in an EPE supported CTE course(s), where they may complete that one or any course they were enrolled at the time the diploma was earned. Appendix 2 refers to New York State's targets for FY202 -2 .

Data on Distance Education Programs

In order to evaluate the effectiveness of distance education programs, agencies approved by NYSED to offer these programs must identify the programs in ASISTS. Please contact your designated ASISTS support person; if you need technical assistance on how to enter this information. In addition, all of the information that is reported for traditional programs, such as demographic information, enrollment, contact hours, measurable skill gains and follow up outcomes, must also be included for distance education students. EPE programs must seek and achieve permissions from NYSED to provided Distance Education as defined in the annual EPE Manual.

Data on Career and Technical Education

Agencies offering Career and Technical Education (CTE) courses must include data to assist NYSED in evaluating the effectiveness of these programs. Scores on pre testing, which is done to determine whether the student possesses sufficient basic skills to succeed in the course, must be entered. Students enrolled in any CTE training course must be actively co-enrolled in an EPE funded literacy class. All students must be both pre and post tested based on the guidelines found in the NYS Assessment Policy. Student demographics and contact hours for attendance must also be entered. Follow-up for employment for all CTE students must be done after the student exits the program according to current NRS guidelines.

Programs serving students who have a high school diploma or a NYS equivalency diploma meeting EPE eligibility criteria may be enrolled in AEPP approved CTE courses however, the program must indicate alternate funding for these courses when/if the program exceeds the maximum 3% EPE contact hours associated with these services.

Requirement for Program Administration and Data Entry Staff

Many difficulties with program accountability are caused by insufficient staff dedicated to administration and data entry responsibilities. As a result, requirements are set to ensure program accountability. The FTEs listed below are for administrators and data staff dedicated to the EPE Program. This distribution of FTEs must allow for the individual staff to be 100% committed to this portion of their job.

| EPE Dollars | Staff FTE- Administrator | Staff FTE- Data Staff |
|-----------------------|-------------------------------------|----------------------------------|
| \$100,000 or less | at least .25 FTE | at least .25 FTE |
| \$100,001 - \$160,000 | at least .3 FTE | at least .3 FTE |
| \$160,001 - \$220,000 | at least .4 FTE | at least .4 FTE |
| \$220,001 - \$300,000 | at least .5 FTE | at least .5 FTE |
| \$300,001 – \$380,000 | at least .6 FTE | at least .6 FTE |
| \$380,001 - \$460,000 | at least .7 FTE | at least .7 FTE |
| \$460,001 - \$540,000 | at least .8 FTE | at least .8 FTE |
| \$540,001 - \$620,000 | at least .9 FTE | at least .9 FTE |
| \$620,001 and over | at least 1 FTE | at least 1 FTE |

The minimum staff that an EPE program must have is .25 FTE for administration and .25 FTE for data entry regardless of the size of the EPE allocation. Agencies with an allocation of over \$620,000 must have a full-time administrator dedicated exclusively to the Adult Education program as well as a full time data entry person dedicated exclusively to the EPE program. This time **must** be fully dedicated to the EPE program without additional duties unrelated to EPE responsibilities. Note that administrative and data entry salaries may be paid under a current WIOA grant contract which your EPE application must clearly indicate. The EPE budget narrative, submitted with this application, must explain how the position is funded through a WIOA Title II funded project and will provide full support to the extent of EPE funding required per the schedule listed above. The administrative and/or data responsibilities associated with the EPE program must be comprehensively supported and articulated in the budget narrative. The administrator salaries must be entered in Code 15 of the budget and the data entry salaries in Code 16.

Please Note: If Administration and Data Staff are not paid from the EPE Budget, the AEPP office will require a written commitment from the program that qualified staff will be dedicated to the EPE Program to meet the required FTE.

Administrator and teacher qualifications will be governed by Part 157.1 of the Regulations of the Commissioner of Education.

The facilities used to provide instruction for EPE programs are covered by fire and liability insurance and meet all applicable State or local fire and safety standards.

The agency will comply with Title IX, Education Amendments of 1972; Title VI of the Civil Rights Act of 1964; the Rehabilitation Act of 1973, and the Americans with Disabilities Act of 1991.

Attendance Policy

EPE State Aid reimburses the school or BOCES for contact hours of service provided, making it imperative that agencies document student attendance accurately. Attendance must be

documented so that every hour of attendance claimed for every student can be verified. Attendance can only be credited when the student is physically in attendance for the time documented. Attendance rosters must be certified and signed by the respective classroom teachers. Original documentation must be kept on file for audit purposes for a period of seven (7) years.

Standardized Half-Hour Rounding Policy

All programs must follow NYSED rounding protocol. All rounding for EPE contact hours must be done on a half-hour schedule. This rounding can be up or down depending upon whether the student arrives late and/or leaves early.

The following chart demonstrates examples of the opportunity to round up or down as contact hours are entered into attendance documents and then entered into ASISTS. All programs must round their student contact hours based on NYSED's policy and enter the hours manually in ASISTS.

In addition, programs are required to maintain documentation of this rounding. The following chart is a sample of how the half hour rounding should be maintained:

| Time Class Scheduled | Time Student Arrives to Class | Round Up or Down | # of contact hours to record for attendance roster providing the student remains in class until 12:00 pm |
|-----------------------------|--------------------------------------|-------------------------|--|
| 9:00 am – 12:00 pm | 9:01 am – 9:14 am | 9:00 am | 3 hours |
| | 9:15 am – 9:30 am | 9:30 am | 2.5 hours |
| | 9:31 am – 9:44 am | 9:30 am | 2.5 hours |
| | 9:45 am – 10:00 am | 10:00 am | 2 hours |
| Time Class Scheduled | Time Student Leaves Class | Round Up or Down | # of contact hours to record for attendance roster providing the student entered the class on time at 9:00 am |
| 9:00 am – 12:00 pm | 11:00 am – 11:14 am | 11:00 am | 2 hours |
| | 11:15 am – 11:30 am | 11:30 am | 2.5 hours |
| | 11:31 am – 11:44 am | 11:30 am | 2.5 hours |
| | 11:45 am – 12:00 pm | 12:00 pm | 3 hours |

Policies must also be in place regarding enrollment and absenteeism. Describe your agency's attendance policy and method for keeping records in the EPE application.

Case Management: Contact hours are earned when case management is provided in person only. Attendance should be recorded in real time with documentation on required attendance documents. For instances when a case manager spends between 5 minutes and 30 minutes with a student, 30 minutes (.5 of one contact hour) may be recorded on attendance documents and in ASISTS.

Electronic Attendance

Programs have the option of recording attendance data electronically in ASISTS. This is done through the Teacher Support System (TSS) in ASISTS. Programs desiring to have teachers record electronic attendance in ASISTS must have prior permission from AEPP.

Contact Hour/Attendance Data in ASISTS

Contact hours must be entered into ASISTS by the end of the month following the month when the attendance occurred. Example: September contact hours must be entered no later than October 31st.

Geographic Range of EPE Programs

For information regarding geographic range please go to the EPE Manual, section titled, Programs Operated in Other School Districts. The policy has been modified to include a Memorandum of Understanding (MOU) requirement. The signed MOU must accompany the program's EPE application. The MOU can be found in Appendix 4 of this application. Any prior arrangements made for geographic arrangements are null and void. Geographic waivers are not intended for individual student services, they are intended to allow for services to be provided on an annual basis to a cohort of students. Geographic waivers are issued by NYSED two times annually; the first opportunity is when applications are reviewed and the initial allocation letter is issued and the second opportunity will be in November of each fiscal year. An EPE funded program may request additional geographic waivers in November, for NYSED approval, to be effective in the second half of the fiscal year, beginning January 1st of the fiscal year. No other geographic waivers will be considered outside of these two opportunities.

Non-Credit Full Time Equivalency (FTE) Arrangements

Some community colleges, BOCES, and school districts have coordinated programs combining EPE State Aid and non-credit remedial FTE funding through SUNY. EPE funds may be generated if the teacher is employed by the school district or BOCES and the arrangement for EPE reimbursement has been approved by NYSED. These arrangements are reviewed and approved on a case by case basis by NYSED management.

EPE Manual

The EPE Manual is available online at NYSED <http://www.acces.nysed.gov/aepp/employment-preparation-education> EPE programs are governed by policy set within the EPE manual.

Program Information Form

All funded agencies are required to submit a Program Information Form (PIF) prior to September 30th every fiscal year. This form reports information regarding class location, teacher's name, course title, number of students projected, number of students enrolled, as well as days, dates, and times the class is offered. The PIF is generated directly from ASISTS under the Data Management menu. The PIF must be updated in ASISTS if there are any changes in class offerings or locations and the revised copy must be sent to the appropriate NYSED regional for approval. This Program Information Form must indicate accurate information in real time.

Use of ASISTS to Generate EPE Claims

All agencies must use ASISTS to generate the SA160.1 and SA160.2 claim forms. An agency can claim less than indicated by the ASISTS-generated claims if, for example, there are not enough expenditures to meet the revenues generated in a given year. The State Aid office will reimburse EPE funded agencies for the amount of the accrued contact hours **or** the amount of expenses reported by the agency, whichever is less. An agency which decides to submit for less hours than indicated by the ASISTS-generated claim should notify their AEPP Team regional liaison in writing. Every hour that is to be claimed for EPE must be entered into ASISTS. This includes all contact hours collected for students enrolled in CTE courses approved for EPE funding and training courses approved on a local Board level. Should the amount of accrued, eligible contact hours exceed the program's NYSED allocation, the hours may be reimbursed on the discretion of the AEPP department and availability of funds.

Policy on Testing and Contact Hours

NYSED policy states that ASISTS will not allow the claiming of contact hours for students enrolled in the program for more than 35 hours who have not been pretested (pretest scores must be within valid ranges). Hours recorded beyond 35 will not be counted on the claim forms until the student is pretested. Furthermore, ASISTS does not allow the claiming of contact hours for students who are enrolled in the program for more than 300 hours after the pretest without being post tested. Posttest scores must be within valid ranges. After the student is post tested, subsequent hours claimed for the student will be allowed. Please refer to NYSED assessment policy for further direction: [Mandated Tests | Adult Career and Continuing Education Services | NYS Education Department](#)

REVIEW ONLY

Fees and tuition

Combining funding sources to cover the actual expenses of operating a program is acceptable. It is acceptable to charge tuition to generate additional revenue; must have approval from NYSED. If the combined total of the tuition plus the EPE aid plus all other sources of revenue for the program exceeds the actual cost for the year, then the excess EPE must be returned to NYSED. NYSED does not permit charging tuition for any program supported by EPE.

REVIEW ONLY

Instructions for Completing the Application For Employment Preparation Education (EPE) State Aid Programs

Agency Eligibility

Local school districts or Boards of Cooperative Educational Services (BOCES) that have been approved previously by NYSED to operate an EPE State Aid program are eligible to apply. Local school districts and BOCES that have not been previously approved to operate an EPE State Aid program should refer to of the EPE Manual.

Participant Eligibility

Participants who are 21 years of age or older who do not have a high school diploma or the equivalent issued by any state, Puerto Rico, or any other US territory of the United States can generate EPE aid. Participants who have a high school diploma or a high school equivalency diploma but fail to demonstrate basic educational competencies (as described earlier in this application) can also generate EPE aid within a limited amount, refer to the EPE Manual.

Instructions

All agencies must complete and submit the sections of the application described below:

- **Cover Sheet:** This fillable form must be completed and submitted as a pdf document.
- **Program Component Worksheet:** Complete the individual worksheets for each program component for which the agency is requesting approval in 202 -202 . The seven (7) component worksheets can be found in the EPE application. The seven component worksheets are: Traditional Adult Education Instruction, Distance Education, Career and Technical Education, NRS Level 1, NEDP, Work Experience, and Fast Track.
- **EPE Program Components Summary Sheet:** Please provide the total number of projected contact hours and students for each program component that the agency is requesting approval for in 202 -2 . This information must be taken from the individual program component worksheets.
- **Waivers:** Agencies seeking to waive requirements of the Commissioner's Regulations concerning class size and length of program must request a formal waiver annually. See Appendix I – Waiver Process and the EPE application. All waivers must be requested annually.
- **Attendance Policy:** Please include a description of the agency's attendance policy by completing this section of the application. Be sure your program's attendance policy adheres to the NYSED guidance as stated above.
- **Programs Operating in BOCES component school districts:** Applicants will list all the component schools' districts served.
- **Fast Track Initiative** an optional section necessary if the program intends to provide Fast Track services.
- **Non-Credit FTEs:** If applicable, complete this section of the application. Include the name of the community college in which the agency has entered into an agreement.
- **Budget Summary:** Complete the non-binding budget section of the application. It is non-binding because the amount of the total budget will be based on the final allocation provided by NYSED. Include estimates of all anticipated EPE expenditures for 202 -2 .
This is not a binding document. It provides information on fiscal planning for the upcoming year. The total should equal the EPE funding requested. Only EPE eligible expenses will be considered. Include information on program administration and data entry staff.

- **Statement of General Assurances:** This form requires an original signature of the Superintendent or Chief Administrative Officer.

Financial Process

Agencies will submit an EPE Interim Report, Claim Form and a Final Claim Form using the forms generated from the ASISTS database.

- **EPE Claim Form SA 160.1 and Interim Report.** This form must be submitted (emailed or faxed) to the AEPP Team on **February 4, 2026**. An original signature copy is due 10 business days (**February 14, 2026**) after the electronic submission. The form determines the EPE hours through December 31, 202 that a program can claim for EPE aid **and the projected total (FULL YEAR) EPE hours through June 30, 2026**. This form is only available through the ASISTS database. As of February 4, 202 , this form will be stationary in the ASISTS database, such that any amendments to the period from July 1, 202 to December 31, 202 must be documented, in full detail, in the EPE amendment log also found in ASISTS. Programs must include **FULL YEAR PROJECTIONS** in their submitted SA 160.1 in February 202 .
- **EPE Claim Form SA 160.2.** This form must be submitted (emailed or faxed) to the AEPP Team on **August 15, 2026**, to claim EPE hours through June 30, 202 . This form is only available through the ASISTS database. An original signature copy is due 10 business days (**August 26, 2026**) after the electronic submission.
- If a due date falls on a Saturday, Sunday, or legal holiday, the date moves to the next business day.

Description of Program Components

Students must be co-enrolled in an academic literacy programming designed to result in measurable skill gain or a high school equivalency diploma for at least 50 percent of the time. This means that no student should be enrolled in a stand-alone non-academic/literacy program.

Assessment Requirement – The regulations require that all students enrolled in EPE programs be assessed (with special directions for Fast Track and NEDP students). Students enrolled in traditional and distance education programs must be pretested and post tested in person. All testing must be provided in person by NYSED adult education certified teachers or K-12 certified teachers. Assessments cannot be mailed to students or provided remotely online; neither are acceptable. Test administrators must be trained and certified through NYSED’s Regional Adult Education Network (RAEN) network to administer each of the required assessments: TABE 13/14, BEST Plus 3.0, and BEST Literacy 2.0.

All instruction in EPE classes must be delivered by an adult education certified teacher (or K-12 certified teacher) employed by the school district or BOCES.

For the 2025-26 school year, the seven (7) EPE program components are

1. Traditional Adult Education Instruction includes the following programs:

Academic programs

- Adult Basic Education (ABE)
- Adult Secondary Education (ASE)
- High School Equivalency (HSE) preparation,
- English as a Second Language (ESL)

2. Adult Distance Education: Programs approved by NYSED in which students are not present in a classroom but where instruction is facilitated by a teacher. All distance education programs must conform to the two-week packet model whether a program is comprised of physical packets or delivered electronically.

Academic programs:

- National External Diploma Program (NEDP) Fast Track
- Skills to Make Adults Ready to Succeed (SMART)
- Giving Ready Adults a Study Program (GRASP)
- ESL Distance Education Packet Program
- Fast Track Math GRASP packets

Agencies offering distance education programs must meet or exceed the same Measurable Skill Gain and Follow Up Outcome targets and New York State high school equivalency test pass rates as traditional classroom programs.

All distance education classes must be coded appropriately in ASISTS. Each Instructional Offering must have the name of the approved distance education program in the identification code for the class as indicated during required training through the RAEN centers.

Agencies requesting approval for any distance education programs for which they were not approved in the 2024-25 fiscal year must provide the following information:

- Justification of the need for the program in the geographic area served by the school district or BOCES;
- A detailed plan of operation for the program during the 2024-25 school year; and
- Assurances that all teachers and any newly hired teachers who will be providing distance education programming will attend the RAEN 6-hour training for EPE Distance Education to generate EPE distance education contact hours for your program.
- Assurance that all pre and post testing provided to distance education students
- Assurance that in person tutoring (maximum 3 hours per week per student) will be offered to all distance education students

Agencies seeking approval for Distance Education programs that were previously approved must meet the guidelines for operating the program as stated in the Statement of General Assurances. The AEPP Team must approve any changes in hours of service or program design. The formula for claiming contact hours for distance education adult

education programs can be found in the EPE Manual. A separate traditional class must be established for each tutoring class. Each weekly tutoring class must be provided in person. These classes may be individual or small group. These Instructional Offerings must also have the name of the Distance Education program plus the word “tutoring.” It should be noted that NYSED has no expectation for tutoring classes to be one on one. Classroom settings with multiple students meeting together are acceptable and encouraged.

Students in distance education programs may attend up to three hours of in-person face-to-face tutoring each week. The National External Diploma Program (NEDP) may generate up to six hours of in-person tutoring in a week. All tutoring hours must be recorded in ASISTS as traditional hours in a traditional class code that is labeled as “tutoring”.

For school districts or BOCES that are existing NEDP providers or are interested in becoming an NEDP provider:

There is no requirement to TABE test students before entering an NEDP program. These students are not subject to NRS performance measures. However, if a program chooses to adhere to NRS guidelines for NEDP students, they may do so and these students would then be included in the program’s NRS reporting. The decision for inclusion must be made when the student is initially enrolled.

If interested in NEDP, please send the following information via an email to the EPE mailbox at EPE@nysed.gov.

- Approval for adding NEDP to EPE programing, the AEPP Office will need the following answers and information:
 - Provide NYSED with assurance that your program expects to attend training referencing ASISTS and data entry specifically provided for NEDP programming.
 - Contact your Regional RAEN Director for information about these trainings.
 - Completed section of the EPE application with NEDP programing information provided.
 - Confirmation that NEDP staff has been identified and those staff have participated in NEDP specialized training provided by the HSE department at NYSED.
 - Additional information about NEDP and necessary requirements can be found at <http://www.acces.nysed.gov/hse/national-external-diploma-program>

3. Fast Track HSE Preparation Initiative: For the 202 -2 application, programs must project the number of contact hours and students they intend to serve under this section.

The four objectives of this initiative are to:

1. Connect more examinees who were unsuccessful on the HSE test with HSE preparation programs
2. Build short-term, intense (“Fast Track”) instructional opportunities for test takers or students who are not successful on any HSE subtest subtests
3. Significantly raise math sub-test pass rates across the state, and
4. Significantly increase the effectiveness of HSE preparation throughout the state.

For FY202 /202 , a portion of the repurposed \$20 million has been reserved to support this effort. National Reporting System (NRS) guidelines will not be applied to this portion of EPE funding and alternate performance metrics will be used to better assess the effectiveness of this initiative. These metrics can be found in the updated FY202 -202 EPE Manual.

For Fast Track students only:

1. No NRS requirement that students must attend 12 hours before they count;
2. No valid pre or post-test requirement;
3. No Measurable Skill Gain calculated;
4. No TABE testing requirement;
5. No employment follow-up for students/test takers;
6. No HSE diploma will count on NRS reports, and
7. No requirement for HSE diploma recipients to have employment or postsecondary training within the first year after exit.

Fast Track Math GRASP

NYSED invested CUNY to create eight math modules which are divided into Part I and Part II sections for a total of sixteen (16) packets. The packets can be found at: www.Collectedy.org. These packets may be utilized in traditional classroom settings or in a specific Fast Track GRASP Math Distance Education class facilitated remotely and utilizing these specially designed packets. The Fast Track GRASP math packets are downloadable or can be printed for the program or students' use.

EPE programs are responsible for recording and documenting the contact hours associated with each completed packet returned by students. Teachers signal that the student has mastered the skills within the packet by the teacher's signature on Appendix 6. When Appendix 6 is signed and submitted to the program manager, the program will claim 24 contact hours; a "4" will be recorded in ASISTS for the distance education formula. Students will also be allowed to attend tutoring sessions in traditional settings for up to 6 hours per week, face to face and in person. Students in the GRASP Math Fast Track may be concurrently enrolled in traditional instruction or in either of the NYSED distance education programs, GRASP or SMART. More information on the tracking of these data is found in the 202 -202 EPE Manual. The students recruited to participate in this initiative will be coded and tracked separately in ASISTS. It should be noted that once a teacher has signed an Appendix 6 for any student representing one of the packets, signaling the student has mastered the skills contained in that packet, that same packet may not be claimed a second time at any point in that student's enrollment. It should be noted this criteria crosses over fiscal years.

Programs must screen students before offering Fast Track programming. The outcome including the attainment of the HSE diploma, will not be counted on any National Reporting System reports for students enrolled exclusively in Fast Track programming. Note: If ESL students are preparing to take the HSE exam, they may also receive Fast Track services.

Additional Fast Track information and options:

Possible Fast track instruction options:

- Fast Track Math GRASP Distance Education packets (paper or electronic) utilizing the NYSED/CUNY eight (8) HSE math modules for students choosing to learn

from a distance education model. The eight modules are divided into Part I and Part II sections for a total of sixteen (16) sections. These sections may be sent electronically to students or provided in paper format. Students receiving these Fast Track Math GRASP packets may be enrolled exclusively in Fast Track programming OR the student may be also enrolled in traditional EPE programming; in those cases, the student will be included on the NRS reports;

- Test taking skills and strategies, including reduction of test anxiety;
- Computer based testing skills and strategies;

For the following Fast Track options, the student cannot be co-enrolled in traditional EPE programming:

- Six (6) hour intense instruction sessions made available evenings and Saturdays in math, writing, reading, science, or social studies;
- Two x Two sessions where two subtest areas of instruction will be offered to assist students in focusing on passing those related subtests;
- Other customized Fast Track strategies designed by program staff (must have prior NYSED approval)

Programs must demonstrate the ability to provide intense case management services in person to adults included in any of these initiatives.

The following performance metrics are used to report student outcomes and to render an addendum NYS Report Card focused on Fast Track programming.

Customized performance metrics include:

- the number of instructional hours offered to students
- the number of contact hours accrued per student
- the number of case management hours dedicated to supporting students
- the demographic data collected on each student
- the number of participants passing the HSE Math sub test
- the number of participants passing any HSE sub test
- the number of participants achieving the NYS HSE diploma

NRS ABE Level 1 students:

This cohort of students are also excluded from NRS guidelines and WIOA enrollment obligations under the repurposed Fast Track EPE funding. All programs that are supporting NRS Level 1 ABE (50% of the number of students enrolled) or NRS Level 1 ESL (50% of the number of students enrolled) under EPE funding in FY202 /202 will be exempt from Measurable Skill Gain and Follow Up Outcome benchmarks. These students must be pre tested to document their NRS Level 1 status.

Note: These students will not count toward contracted enrollments for funding under any Workforce Innovation Opportunities Act (WIOA) project.

4. Career and Technical Education Courses: For the 2025-26 application, all courses must be listed in the application section “Career and Technical Education Courses”.

- This section has been revised to capture current CTE offerings and new CTE courses being proposed.

5. Case Management Requirement for EPE funded students:

Programs must fund case management according to the following minimum Full Time Equivalent (FTE) calculation: one full time Case Manager for every 600 EPE funded active students. This metric also includes all active Fast Track students. More comprehensive direction regarding the work of case management and when/if the contact hours are reimbursable, can be found in the EPE manual. Case managers should provide referrals to address participants' specific needs including, but not limited to, literacy instruction, employment, training and apprenticeship programming, access to community benefits, physical and mental health services, legal services, financial services, and housing.

6. **Work Experience Programs:** Unpaid, structured, and supervised appropriate work activities/tasks for a specified period of time in a public or private, profit or not-for-profit setting that enhance and support a written educational and employment plan for the adult students. Agencies wishing to offer a work experience program, regardless of any previous approvals, must submit a detailed program description and curriculum. The guidelines for work experience programs are described below and can also be found in the current EPE manual.

Basic Requirements for an EPE funded Work Experience Program

- There is a student lifetime limit of 50 weeks of EPE-funded Work Experience per student. Regardless whether students are in attendance, if they are scheduled for Work Experience, the scheduled time will be measured against the 50 week lifetime maximum.
- Work Experience attendance must be entered into ASISTS and the class ID must reflect Work Experience
- Total program hours are defined as the total hours of classroom instruction, plus the total hours of work for each individual in this component. Programs offering between 20-40 hours of classroom instruction per week, plus work, must request written permission from the AEPP Team. Total program hours may not exceed 40 hours per week.
- Supervision: All Work Experience components must be coordinated, supervised, and directed by an individual who meets the following qualifications and who is employed by the local school district or BOCES claiming the EPE State Aid:
 - For public school programs other than in New York City and Buffalo, the individual must have a valid New York State teaching certificate (Initial or Professional) or a New York State adult education teaching certificate.
 - For programs operating within New York City or Buffalo, the individual must have a New York City or Buffalo teacher's license.
- Work Site Visitation: Each student must be visited by a Work Experience coordinator, supervisor or director on a regular basis (no less than once per week) throughout the entire Work Experience component. These visits should be documented in the student folder. In addition, each student must be supervised on the job by an appropriate individual (employee, supervisor, manager, owner, etc.) at the work site who will monitor the student's progress towards specific skills and goals, and will be responsible for recording the student's daily attendance.
- Employer agreements: Each Work Experience employer must demonstrate their willingness to participate in this program with a Memorandum of Understanding

(MOU). The employer must also express their interest in providing employment to those students who complete the program.

In addition to the above criteria, agencies must ensure that:

- All participants meet the criteria for generating EPE;
 - Individual student folders are kept documenting Work Experience, attendance and related information such as work site visits and student progress;
 - The agency has a system in place to track job placements or other outcomes that may result from Work Experience;
 - All contact hours are documented in ASISTS and coded as Work Experience.
- Generation of EPE Aid for Work Experience Programs: Instructional hours and Work Experience hours completed in Work Experience components that are offered as a part of Traditional Adult Education programs may generate EPE State Aid as follows:

Classroom instruction must be provided comprising at least 30 percent of total program hours (total program hours are defined as the total number of Work Experience hours, plus hours of classroom instruction per week). Classroom instruction may be any traditional (AEPP approved) academic program. Classroom instruction generates EPE at the full contact hour rate. Work Experience generates EPE at a 50 percent contact hour rate (i.e., for every hour of Work Experience, one-half contact hour of EPE aid is generated). All programs must submit the curricula or program design expected to be used in FY202 /202 . All required items must be submitted at the time of the EPE application due date; incomplete applications will not be approved.

7. Regional RAEN HSE networks

Each of the seven RAENs will convene all test centers and preparation programs in their RAEN regions to begin sharing referrals, creating regional outreach to potential test takers. All approved EPE programs providing HSE preparation must commit to participating in their respective RAEN HSE networks.

Creation of Regional Apprenticeship networks

Each of the seven RAENs will convene a network of agencies associated with Apprenticeship opportunities. This effort will be an opportunity to create regional communication between programs and apprenticeship agencies and cultivate effective communication that will lead to more opportunity for students. All approved EPE programs must commit to participating in their respective RAEN Apprenticeship networks when available.

Intake Requirements:

Programs must offer a comprehensive intake and orientation process to new students as they enter the program in FY202 /202 . The following is a summary list of the expectations for an EPE funded intake process. It is the responsibility of the program to cover each of these items during the intake process. The process may be separated into sections to accommodate scheduling, however each item must be included:

- Individual Student Record Form (ISRF), a new ISRF must be signed by every active student every fiscal year
- Barriers to Employment explained and selected as appropriate
- Assessments – purpose and need for testing explained
- Funding - how are programs fiscally supported (not free - no cost to student) first learning experience for students
- Four Pathways to HSE (include HSE website and CollectED website), Attachment R, NEDP, college credit.
- Fast Track opportunities
- Provide one of the Fast Track Math GRASP packets to ABE/ASE students
- Why HSE? What does it lead to?
- All EPE funded students must be registered in JobZone – Virtual Career Center
- Student Contract/Attendance Agreement (what to do if you cannot make it to class)
- Resources available through case management (community referrals)
- Programming available in addition to classes (CTE, Literacy Zones, IEL/CE)
- Training available (BOCES, School Districts, community colleges) vocational training, possible credentials
- Learning disability awareness and resources
- Meet the staff - still-pictures or short recorded video with a brief bio for each teacher and case manager
- Menu of classes available (where and when)
- Offer the possibility of Distance Education (GRASP, SMART, ESL)
- Include student ambassadors to meet and greet with new students while they are waiting for their assessment to be completed

The maximum number of contact hours permitted for this comprehensive intake process is twelve (12). Programs must have detailed records of the time spent and the activities offered to students.

Appendix 1

2025-26 Annual Waiver Process for Employment Preparation Education (EPE) State Aid

The instructions in this appendix must be followed if your agency wishes to modify the limits set in Commissioner's Regulations. **The Waiver Worksheet (found in application section) must be completed annually and submitted for approval with your EPE application.**

Class Size

- For changes to the class size, indicate the **maximum** number of students who will be enrolled in the class and the anticipated Average Daily Attendance (ADA). Written waivers must be requested annually for classes with rosters exceeding 20 students but not larger than 35. The waiver will only be granted if the projected ADA does not exceed 25 students. A class roster, with a waiver, may maintain up to 35 on their roster; however, on any given day, there cannot be more than 25 students meeting in a classroom.
- On the Waiver Worksheet, describe how instructional quality will be maintained in light of the increased number of students

Class Hours

- For changes to the number of hours the class will operate each week, indicate the minimum or maximum number of hours the class will operate. Waivers will not be granted for classes meeting for more than 40 hours per week. Waivers for classes meeting less than 6 hours per week must be requested and approved annually; at no time will a waiver for less than 4 hours per week be approved. Specific classes must be noted.

HSE Testing Waiver

- If NYSED determines that there is a severe shortage of public HSE testing in a region of the state, then NYSED may grant a waiver to an EPE provider who is both a) a public testing center, and b) operates an adult HSE preparation program. Such waiver will allow the EPE provider to generate EPE hours on eligible HSE preparation students referred from their own preparation program, or public testing students, or HSE preparation students referred from other preparation programs in their local proximity. **The limit for generation of EPE hours is 1.0 percent (1%) of the EPE providers' prior years' EPE accrual. Such waiver will also allow the EPE provider to claim EPE expenses for HSE test administration; the limit is also 1.0 percent (1%) of the EPE provider's contact hour accrual from the previous year. Both this policy and any approved waivers will be reviewed and approved by NYSED annually.**

All written waivers must be renewed annually. Written waiver requests must be included in the Waiver Worksheet included in this application.

Appendix 2

New York State's Proposed Performance Targets Measurable Skill Gain

| Educational Functioning Level | 2025-2026 |
|--------------------------------------|------------------|
| Beginning Literacy ABE | TBD |
| Beginning ABE | TBD |
| Low Intermediate ABE | TBD |
| High Intermediate ABE | TBD |
| Low ASE | TBD |
| High ASE | TBD |
| Beginning Literacy ESL | TBD |
| Low Beginning ESL | TBD |
| High Beginning ESL | TBD |
| Low Intermediate ESL | TBD |
| High Intermediate ESL | TBD |
| Advanced ESL | TBD |
| Follow Up Outcomes | 2025-2026 |
| Employment (2 nd Quarter) | TBD |
| Employment (4 th Quarter) | TBD |
| Credential Attainment | TBD |

Note: The targets in the table are under negotiation with the US Department of Education. Final targets will be sent to all EPE programs in late June 2025.

Appendix 3

Adult Education Programs and Policy Team County Assignments

| <u>Upstate Team</u> | | <u>Downstate Team</u> | |
|---------------------|--------------|-----------------------|---------------|
| Albany | Niagara | Dutchess | Putnam |
| Allegany | Oneida | Orange | Sullivan |
| Broome | Onondaga | Nassau | Nassau |
| Cattaraugus | Ontario | Rockland | Suffolk |
| Cayuga | Orleans | Ulster | New York City |
| Chautauqua | Oswego | Westchester | |
| Chemung | Otsego | | |
| Chenango | Rensselaer | | |
| Clinton | Saratoga | | |
| Columbia | St. Lawrence | | |
| Cortland | Schenectady | | |
| Delaware | Schoharie | | |
| Erie | Schuyler | | |
| Essex | Seneca | | |
| Franklin | Steuben | | |
| Fulton | Tioga | | |
| Genesee | Tompkins | | |
| Greene | Warren | | |
| Hamilton | Washington | | |
| Herkimer | Wayne | | |
| Jefferson | Wyoming | | |
| Lewis | Yates | | |
| Livingston | | | |
| Madison | | | |
| Monroe | | | |
| Montgomery | | | |

Contact Information:

All Regional staff can be reached at (518) 474-8940 or at EPE@nysed.gov

Appendix 4

Geographic Range Memorandum of Understanding

This MOU must be completed when a BOCES is proposing to operate an adult literacy program, including Distance Education, in a BOCES or in a school district outside their component school districts OR when a school district is proposing to operate an adult literacy program, including Distance Education, in another school district outside their BOCES component school districts. If the school district is within the proposed adult literacy program, including Distance Education, is part of a BOCES, then in addition to the school district superintendent's signature, the BOCES district superintendent must also agree and sign. NYSED must approve the MOU before any services are provided.

Date of Request: _____

Program Year: _____

There are four possible geographic agreement options, the program making the request must select ONE option:

- ☐ Option #1 BOCES to BOCES
- ☐ Option #2 BOCES to an independent School District (not part of another BOCES)
- ☐ Option #3 School District to a BOCES
- ☐ Option #4 School District to School District

In the table below, complete all the fields that apply to the desired option:

| | Adult Education Manager or Director | BOCES District Superintendent requesting the waiver | Component School District Superintendent requesting the waiver | Independent School District Superintendent requesting the waiver |
|--|--|--|--|--|
| Full Name | | | | |
| Street Address | | | | |
| Phone # | | | | |
| Email | | | | |
| Services the BOCES or school district is proposing to provide: | | | <input type="checkbox"/> ABE <input type="checkbox"/> ASE <input type="checkbox"/> ESL | |
| Number of classes to be provided at the proposed site | | | <input type="checkbox"/> ABE <input type="checkbox"/> ASE <input type="checkbox"/> ESL | |

SIGNATURES REQUIRED:

Option #1 Signatures Required

_____ BOCES agrees to provide adult literacy services to the School District,

_____ from July 1, 2023 through June 30, 2024

Signature BOCES District Superintendent

Date

_____ BOCES District Superintendent agrees to have the

_____ BOCES provide adult literacy services as prescribed above.

Signature BOCES District Superintendent

Date

Option #2 Signatures Required

_____ BOCES agrees to provide adult literacy services to the School District,
_____ from July 1, 2023 through June 30, 2024

Signature BOCES District Superintendent

Date

_____ School Superintendent agrees to have the
_____ BOCES provide adult literacy services as prescribed above.

Signature School Superintendent

Date

Option #3 Signatures Required

_____ school district agrees to provide adult literacy services to the
BOCES, _____ from July 1, 2023 through June 30, 2024

Signature School Superintendent

Date

_____ BOCES District Superintendent agrees to have the
_____ school district provide adult literacy services as prescribed above.

Signature BOCES District Superintendent

Date

Option #4 Signatures Required

_____ school district agrees to provide adult literacy services within the
school district, _____ from July 1, _____ through June 30, _____ (NYSED will
approve up to a three year time frame).

Signature School Superintendent

Date

_____ School Superintendent agrees to have the
_____ school district provide adult literacy services as prescribed above.

Signature School Superintendent

Date

Any prior arrangements made for geographic arrangements are null and void.

Signature School Superintendent

Date

Any prior arrangements made for geographic arrangements are null and void.

REVIEW ONLY

Appendix 5

School Districts or BOCES applying for Employment Preparation Education (EPE) Aid for the first time

In addition to the current year application component information, please submit the following:

Comprehensive Plan of Service (narrative form), which must include the following:

- summary of your agency's overall adult education EPE program within your district (not community education);
- an evaluation plan for determining the effectiveness of all program components;
- professional development plans including coordination with the Regional Adult Education Network (RAEN);
- agreement to use the ASISTS system for recording student contact hours, student progress, student outcomes, and all EPE fiscal reports;
- a description of student intake, assessment, and evaluation procedures;
- a description of the plan to coordinate programming regionally to assure maximum effectiveness and avoid duplication of services;
- support for initiatives identified annually by AEPP (see current application); and,
- signed Statement of General Assurances demonstrating compliance with required program and fiscal reporting.

Submit as Appropriate

- list of teachers' names and dates training took place for Distance Education component models;
- list of names and dates ASISTS training took place; and,
- narrative description of your work experience component program that includes assessment, case management, student supervision, attendance procedures, and post-case management (job retention) activities.

If you have questions on any of the above, please contact your Adult Education Programs and Policy Team (see Appendix 3).

Appendix 6
FAST TRACK Math GRASP Packets

This document is used to track Fast Track Math GRASP Packets for programs that are **EPE funded**. It should not be used for any other AEPP funding source.

School District or BOCES: _____

Student Name: _____

| Packet | Part I or Part II | Electronic or Paper | Date Assigned | Date Completed |
|---|-------------------------|---------------------------|------------------|-------------------|
| Number Lines and the Coordinate Grid | Part I Part II | Electronic Paper | | |
| Proportional Reasoning | Part I Part II | Electronic Paper | | |
| Being Counted: Probability & Statistics | Part I Part II | Electronic Paper | | |
| Two-Dimensional Geometry | Part I Part II | Electronic Paper | | |
| Three-Dimensional Geometry | Part I Part II | Electronic Paper | | |
| Tools of Algebra: Expressions, Equations, and Inequalities | Part I Part II | Electronic Paper | | |
| The Power of Exponents | Part I Part II | Electronic Paper | | |
| Tools of Algebra: Linear Functions | Part I Part II | Electronic Paper | | |
| Tools of Algebra: Non-Linear Functions | Part I Part II | Electronic Paper | | |

Appendix 6
FAST TRACK Math GRASP Packets

Student should list the dates and amount of time spent on the material in the packet.
One Appendix 6 must be completed for each packet assigned and completed:

| Date | Time (hours) Worked | Date | Time (hours) Worked |
|-------|---------------------|-------|---------------------|
| _____ | _____ Hours | _____ | _____ Hours |
| _____ | _____ Hours | _____ | _____ Hours |

Approximate Total time spent on the packet: _____ Hours

STUDENT COMMENTS ON THIS PACKET:

Teacher Signature: _____ Date _____

Appendix 7

Fast Track Math GRASP Programming

- Fast Track Math GRASP Packets conforms to a two-week packet model.
- Documentation of student work must be kept for work completed in each packet. Refer to Appendix 6.
- The instructor is responsible for reviewing the student's work and assessing mastery.
- Only ESL students preparing to take the HSE Math subtest may receive Fast Track GRASP Math Packets.
- All teachers providing Fast Track GRASP Math packets must be adult education certified or P-12 certified and employed by the BOCES or school district administering the EPE program.
- A student roster must be maintained containing the names of all enrollees who are receiving Fast Track Math packets.
- Each teacher providing Fast Track Math GRASP packets must have a separate class code in ASISTS where Appendix 6 results are recorded.

Contrast of Traditional GRASP versus Fast Track Math GRASP

| NYSED Distance Education GRASP | NYSED Fast Track Math GRASP |
|--|---|
| The program must have approval by AEPP to provide GRASP Distance Education | The program must have approval under EPE application to utilize the Fast Track Math GRASP packets |
| The teacher must be certified in GRASP (completed 6-hour training through the RAEN) | All teachers certified in adult education or P-12 may employ the use of Fast Track Math GRASP packets providing the program has received NYSED approval to do so |
| NRS Levels 4, 5, & 6 | No exceptions |
| Teacher customizes each GRASP packet per the needs of the student | Fast Track Math GRASP packets cannot be altered, they are complete at issue and cannot be manipulated |
| Student must be pre and post tested | Fast Track students do not need a pre or post test; |
| A "2" is entered into ASISTS for each completed GRASP packet | A "4" is entered into ASISTS for each completed Fast Track Math GRASP packet |
| Student may attend 3 hours of in person, face to face tutoring each week | Student may attend 6 hours of in person, face to face tutoring each week* |
| Student cannot be co-enrolled in Distance Education and Traditional Education concurrently | Student is free to attend Traditional Educational classes while receiving Fast Track Math GRASP packets. Classroom instruction cannot include the same materials included in the Fast Track Math GRASP packets assigned |
| *Students receiving Fast Track Math GRASP packets may also be enrolled in Distance Education GRASP or SMART concurrently. If they are concurrently enrolled in both then <u>the maximum tutoring contact hours is six (6) per week per student. This tutoring time must be face to face, in person.</u> | |

Appendix 8

Career and Technical Education (CTE) Courses

For programs that are including Training course(s) that have not been approved by NYSED/K-12 CTE Office: Your BOCES or local school district has the statutory and regulatory authority to offer occupational/career programs to adult populations under the oversight and accreditation of the local boards of education (without the need for additional NYSED program-level approval or oversight). The AEPP office is prepared to provide EPE funding to support these courses.

Appendix 8 must be completed and signed by the Superintendent or District Superintendent as part of the application process. Training courses may be proposed for EPE funding two times per fiscal year; 1) the initial application completed annually by **June 15, 2025**, or in the month of November for approval and implementation in the second half of the fiscal year. These are the only two opportunities for programs to add Training courses for EPE funding. There will be no exceptions. It should also be noted that credentials achieved through any Locally Approved Training Courses will not count toward Measurable Skill Gain unless/until those same credentials are approved under separate WIOA funding.

Table 7a: Locally Approved Training Courses Please note, all fields must be completed before this application is submitted or it will not be reviewed or approved.

Table 7a: Locally Approved Career and Technical Education (CTE) Courses

Note: All information in this table must be completed before AEPP will review for possible approval.

| Locally Approved Training Course Title | Training Area | Projected # Students | Required Course Hours | Projected # Contact Hours | Date of Board of Education Approval | High School Diploma Required Yes/No |
|---|----------------------|-----------------------------|------------------------------|----------------------------------|--|--|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

By initialing each item below, the signatory attests that each training course identified in Table 7a:

_____ has been approved by the local board of education (documentation required).

_____ meets the requirements of Part 141.4 of Commissioner's regulations.

_____ the content and duration of the course is adequately designed to meet its occupational and educational purposes.

_____ employs properly certified directors, supervisors, principals, teachers and counselors that have education and experience that are properly matched to the occupational and educational purposes of the program.

_____ meets the industry standards or other standards required for employment within the occupation or

occupational cluster for which the course is designed to lead.

_____ leads to the requisite credential necessary for obtaining employment within the occupation area for which the course is designed.

_____ High School Diploma or its equivalent is not a prerequisite for any of the courses listed above

_____ is under the direction and supervision of the District Superintendent or local superintendent of schools.

I hereby certify that I am the applicant institution's Chief Administrative Officer, and on behalf of the school district I agree to the requirements and terms of approval.

| <i>Superintendent's Signature</i> | <i>Printed Name</i> | <i>Title</i> | <i>Date</i> |
|-----------------------------------|---------------------|--------------|-------------|
| _____ | _____ | _____ | _____ |

BUDGET
FY2025 - 2026

Projected EPE state aid (from page 3 of the application) _____

Administrative salaries listed in code 15 and data entry salaries listed in code 16 must conform to the requirements on page 3 of the Overview and Instructions. The application will not be approved unless the minimum administrative staffing requirement is met. Funds to support program administration can be from sources other than EPE aid; however, would need to be explicitly identified.

While an FS10 is not required for State aid programs, SED is requiring this information to enable staff to review the appropriateness of expenditures. This is a non-binding budget, i.e., funds that are allocated to one cost category can be moved to other categories and the total amount allocated may be greater or less due to a lower allocation than requested, a reallocation, or higher or lower EPE generation than anticipated.

REVIEW ONLY

SALARIES FOR PROFESSIONAL STAFF: Code 15

Include only staff members that are employees of the agency. Do not include consultants or per diem staff. Do not include central administrative staff members that are considered to be indirect costs, e.g., business office staff. One full-time equivalent (FTE) equals one person working an entire week each week of the project. Express partial FTE's in decimals, e.g., a teacher working one day per week equals .2 FTE. It is necessary to include the FTE in order for staff to determine that minimum requirements for program administration and data staff have been met. Hourly staff may be listed using hourly calculations as long as there is evidence in the previous section entitled Program Administration and Data Entry that confirms that the minimum requirements for staffing are being met. **A full-time program director equals 1.0 FTE. Program director is a required position and should be reflected below.**

As applicable, the EPE budget narrative, submitted with this application, must explain how the position is funded through a WIOA Title II funded project and will provide full support to the extent of EPE funding required per the schedule listed above.

| Specific Position Title | Full-Time Equivalent | Annualized Rate of Pay | Project Salary |
|-------------------------|----------------------|------------------------|----------------|
| | | | |
| Subtotal - Code 15 | | | |

SALARIES FOR SUPPORT STAFF: Code 16

Include salaries for data management/ data entry staff, teacher aides, secretarial and clerical assistance, and building operation and maintenance. Do not include central administrative staff members that are considered to be indirect costs, e.g., account clerks. **A full-time data staff person equals 1.0 FTE, data staff is a required position and required FTE should be reflected below**

As applicable, the EPE budget narrative, submitted with this application, must explain how the position is funded through a WIOA Title II funded project and will provide full support to the extent of EPE funding required per the schedule listed above.

| Specific Position Title | Full-Time Equivalent | Annualized Rate of Pay | Project Salary |
|-------------------------|----------------------|------------------------|----------------|
| | | | |
| Subtotal - Code 16 | | | |

PURCHASED SERVICES: Code 40

Include consultants (indicate per diem rate), rentals, tuition, and other contractual services. Copies of contracts may be requested by the State Education Department. Purchased Services from a BOCES, if other than applicant agency, should be budgeted under Purchased Services with BOCES, Code 49.

| Description of Item | Provider of Services | Calculation of Cost | Proposed Expenditure |
|---------------------|----------------------|---------------------|----------------------|
| | | | |
| Subtotal - Code 40 | | | |

Include computer software and equipment, textbooks, assessment materials and equipment items under \$5,000 per unit.

Subtotal - Code 45

May include conference costs and travel for staff between instructional sites. Specify agency approved mileage rate for travel by personal car or school-owned vehicle.

Subtotal - Code 46

Rates used for project personnel must be the same as those used for other agency personnel.

INDIRECT COST: Code 90

- | | |
|----|-------|
| \$ | (A) |
| | % (B) |
| \$ | (C) |

| Description of Services | Name of BOCES | Calculation of Cost | Proposed Expenditure |
|-------------------------|---------------|---------------------|----------------------|
| | | | |
| Subtotal – Code 49 | | | |

MINOR REMODELING: Code 30

Allowable costs include salaries, associated employee benefits, purchased services, and supplies and materials related to alterations to existing sites.

| Description of Work To be Performed | Calculation of Cost | Proposed Expenditure |
|--|------------------------|-------------------------|
| | | |
| Subtotal – Code 30 | | |

EQUIPMENT: Code 20

All equipment to be purchased in support of this project with a unit cost of \$5,000 or more should be itemized in this category. Equipment items under \$5,000 should be budgeted under Supplies and Materials, Code 45. Repairs of equipment should be budgeted under Purchased Services, Code 40.

| Description of Item | Quantity | Unit Cost | Proposed Expenditure |
|---------------------|----------|-----------|-------------------------|
| | | | |
| Subtotal – Code 20 | | | |

BUDGET SUMMARY

| SUBTOTAL | CODE | PROJECT COSTS |
|------------------------|------|---------------|
| Professional Salaries | 15 | |
| Support Staff Salaries | 16 | |
| Purchased Services | 40 | |
| Supplies and Materials | 45 | |
| Travel Expenses | 46 | |
| Employee Benefits | 80 | |
| Indirect Cost | 90 | |
| BOCES Services | 49 | |
| Minor Remodeling | 30 | |
| Equipment | 20 | |
| Grand Total | | |

STATEMENT OF GENERAL ASSURANCES

Employment Preparation Education Program

The Superintendent or Chief Administrative Officer of the applying agency certifies that:

- The officer signing the application is officially empowered to act on behalf of the agency.

For the Employment Preparation Education (EPE) programs, other than credit-bearing high school courses, the following program approval requirements will be met:

- A curriculum approved by NYSED will be used.
- Instruction will be individually prescribed and paced in each class.
- Education and Employment Preparation plans will be developed for individual adult students.
- Individual student folders will be kept in a central location in a cabinet locked for security. The folders must include information concerning:
 - Attendance
 - Individual Student Record Form (ISRF) issued annually by NYSED
 - Assessment score sheets (for all pre and post-tests)
 - BEST 3.0 score sheet or electronic report (individual by student)
 - BEST Literacy scoring booklet
 - TABE 13 & 14 test score sheet
 - For students participating in Distance Education programming, sample evidence of work completed at home
 - Americans with Disabilities Act declaration
 - Original signature from student
 - Education and Employment Plan (EEP)
 - Customized to student's entry literacy levels and goal selection
 - Updated at least quarterly
- Class registers may not exceed 20 students unless a written waiver is granted by NYSED.
- Classes will operate at least six and not more than 20 hours per week, unless a written waiver is granted by NYSED. Written waivers will not be granted for more than 40 hours per week.
- A total of at least 150 hours of instruction will be made available to individual students.
- Each professional staff member serving in the Employment Preparation Education programs will attend a minimum of fourteen (14) hours of professional development annually exclusively through the Regional Adult Education Network.
- English-speaking students will be given NYSED assessments in reading and mathematics to determine their approximate skill level.

- English-speaking students in need of basic skills will be provided instruction in reading, mathematics, verbal and written communication.
- English-speaking students will be given NYSED approved assessments which do have forms for post-testing as per NYSED assessment policy.
- Students with limited English proficiency will be given NYSED approved assessment before being placed in appropriate level instructional programs.
- Students with limited English proficiency will be provided instruction in listening, reading, verbal and written communication.
- Students with limited English proficiency will be given NYSED approved assessments for post-testing as per NYSED assessment policy.
- Each ABE and ASE student must be pre and post tested in person on the NYSED approved assessment in both Math and Reading.
- Students preparing for the High School Equivalency test will be referred to the test when they demonstrate readiness, as indicated by the readiness assessment or other NYSED approved instrument.
- Programs will submit required data to NYSED via the electronic data system ASISTS on a monthly basis.
- Attendance must be recorded in ASISTS on a minimum of a monthly basis. Attendance data will be entered by the end of the month following the month when attendance accrued.

Agencies will apply minimum requirements for program administration and data staff per the requirements below:

| EPE Dollars | Staff FTE-Administrator | Staff FTE-Data Staff |
|-----------------------|--------------------------------|-----------------------------|
| \$100,000 or less | at least .25 FTE | at least .25 FTE |
| \$100,001 - \$160,000 | at least .3 FTE | at least .3 FTE |
| \$160,001 - \$220,000 | at least .4 FTE | at least .4 FTE |
| \$220,001 - \$300,000 | at least .5 FTE | at least .5 FTE |
| \$300,001 – \$380,000 | at least .6 FTE | at least .6 FTE |
| \$380,001 - \$460,000 | at least .7 FTE | at least .7 FTE |
| \$460,001 - \$540,000 | at least .8 FTE | at least .8 FTE |
| \$540,001 - \$620,000 | at least .9 FTE | at least .9 FTE |
| \$620,001 and over | at least 1 FTE | at least 1 FTE |

Administrator and teacher qualifications will be governed by Part 157.1 of the Regulations of the Commissioner of Education.

The facilities used to provide instruction for EPE programs are covered by fire and liability insurance and meet all applicable State or local fire and safety standards.

The agency will comply with Title IX, Education Amendments of 1972; Title VI of the Civil Rights Act of 1964; the Rehabilitation Act of 1973, and the Americans with Disabilities Act of 1991.

| | |
|---|------|
| I have completed this application accurately to the best of my knowledge and have read and understood the entire Statement of General Assurances. I understand that Employment Preparation Education aid generated by these programs must be expended only on EPE programs. | |
| Signature of Superintendent or Chief Administrative Officer (original) | Date |
| Print or type name: | |
| Email Address: | |
| Agency Address: | |
| Telephone No: | |